

Senate

General Assembly

File No. 184

January Session, 2001

Substitute Senate Bill No. 1275

Senate, April 10, 2001

The Committee on Commerce reported through SEN. LEBEAU of the 3rd Dist., Chairperson of the Committee on the part of the Senate, that the substitute bill ought to pass.

AN ACT IMPLEMENTING THE RECOMMENDATIONS OF THE LEGISLATIVE PROGRAM REVIEW AND INVESTIGATIONS COMMITTEE CONCERNING TRANSPORTATION PLANNING.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) As used in sections 1 to 6, inclusive, of this act:
- 2 (1) "Board" means the Connecticut Transportation Board;
- 3 (2) "Department" means the Department of Transportation;
- 4 (3) "Commissioner" means the Commissioner of Transportation;
- 5 (4) "Vision statement" means a written projected overview of the
- 6 state's transportation network and system at a specified future date;
- 7 (5) "Mission statement" means a written declaration of the purpose
- 8 of the Department of Transportation, including elements that address
- 9 economic development, customer service and sensitivity to other
- 10 societal goals, that is designed to enable all employees of the

11 department to strive for the same goals, philosophy and direction.

12 Sec. 2. (NEW) (a) There is created the Connecticut Transportation 13 Board, the members of which shall be appointed as follows: (1) The 14 Governor shall appoint five members, one of whom shall be a member 15 of the board of directors of any board or commission that oversees 16 Bradley International Airport; and (2) the speaker of the House of 17 Representatives, the president pro tempore of the Senate, and the 18 minority leaders of the House of Representatives and the Senate shall 19 each appoint one member. The Commissioners of Transportation, 20 and Community Development, Public Safety and Economic 21 Environmental Protection, and the Secretary of the Office of Policy and 22 Management shall serve as nonvoting, ex-officio members of the 23 board. The appointed members of the board shall be knowledgeable 24 about transportation and economic development issues. Appointing 25 authorities shall consider geographical balance of the board in making 26 appointments. No appointed member shall be an employee of the 27 department. The term of office of appointed members shall be four 28 years.

- (b) The Governor shall appoint the chairperson of the board from among its members. The board may create other offices it deems necessary and may fill such offices from among its members. The powers of the board shall be vested in and exercised by not less than five members of the board. Five members of the board shall constitute a quorum.
- (c) The voting members of the board shall be compensated for their attendance at any public hearing, meeting, executive session or other board business that may require their attendance at the rate of two hundred fifty dollars, except that for each member, daily compensation shall not exceed two hundred fifty dollars and annual compensation shall not exceed twelve thousand five hundred dollars.
- (d) The department shall serve as staff to the board. The board may

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hire any staff it determines necessary to carry out its functions and purposes within the available appropriations of the department.

- Sec. 3. (NEW) The board shall have the following powers, duties and functions:
- 46 (1) Create a vision statement for the transportation system in the 47 state and create a mission statement for the department;
 - (2) Create a ten-year strategic plan and update such plan for the operation, maintenance and improvement of the transportation system in the state that emphasizes a comprehensive and balanced state-wide system, as provided in section 4 of this act; create a ten-year financial plan and update such plan, as provided in section 5 of this act; develop performance measures to track progress toward the accomplishment of goals and objectives outlined in the strategic plan and monitor implementation of the strategic and financial plans;
 - (3) Consider the actions of and coordinate its planning efforts as necessary with regional planning organizations, other departments of the state and of states adjoining this state, and with any other organization or agency that may affect the operation and success of the transportation system;
- 61 (4) Prepare any assessments of the organization of the department 62 that the board considers necessary;
- 63 (5) Determine the priority of major projects in the department;
- 64 (6) Make any changes in the organization of the department that the 65 board determines are necessary based on any assessments made by the 66 board, and oversee any other organizational changes in the 67 department;
- 68 (7) Review and approve the proposed operating and capital budgets 69 of the department before submittal to the Governor;

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(8) Review and approve the Transportation Improvement Program and the State-Wide Transportation Improvement Program, as provided in 49 USC Chapter 53, before submittal to the Governor;

- (9) When there is a vacancy in the position of commissioner, submit to the Governor a list of not less than three qualified candidates, from which the Governor shall appoint the commissioner as provided in section 13b-3 of the general statutes, as amended by this act;
- (10) Upon a finding by the board of a failure by the commissioner to carry out the board's policies or of incapacity, neglect of duty or unlawful conduct by the commissioner, may submit to the Governor a recommendation for removal of the commissioner;
 - (11) Report annually to the Governor and the joint standing committees of the General Assembly having cognizance of matters relating to transportation and economic development on the progress in implementing the strategic plan in accordance with section 11-4a of the general statutes; and
 - (12) Establish an advisory committee to advise the board in carrying out its responsibilities that includes representatives from each regional planning organization, rail and bus commuters, truck and rail freight operators and the port and airline industries, a representative from a state-wide environmental organization having an interest in transportation policy and such other members as the board considers appropriate.
 - Sec. 4. (NEW) (a) Not later than one year following the formation of the board, the board shall create a ten-year strategic plan in conjunction with the department and after consideration of regional long-range plans that the board shall use to assist in defining and prioritizing the objectives of the state's transportation system and in directing funding toward those objectives. The board shall update the strategic plan every two years, and shall submit the plan and updated

100 plans to the Governor and the General Assembly every two years in accordance with section 11-4a of the general statutes.

(b) The strategic plan shall (1) address specific topics including, but (A) The role of transportation in economic not limited to: development, including, but not limited to, (i) the promotion of mobility and productivity; (ii) linking transportation modes to achieve connectivity; (iii) the state's connection to the national and global transportation network; and (iv) support for economic clusters and regional economic priorities; and (B) furthering other societal goals, including, but not limited to, (i) ensuring safety within the system and maintenance of current transportation assets; (ii) balancing the state's needs for improvements in transportation and economic development and the impact of such improvements on the environment, consistent with the State Plan of Conservation and Development, as provided in part I of chapter 297 of the general statutes; (iii) providing mobility to underserved populations; and (iv) treating the users of the transportation system as customers and focusing on the needs of and service to the customers as a priority; (2) identify objective criteria and procedures for prioritizing the state's transportation needs and expenditures in relationship to the objectives in the strategic plan; and (3) consider and address matters affecting transportation that are normally outside the department's control, including, but not limited to, (A) coordination of land use issues with transportation investments; (B) coordination with other state agencies, including the Departments of Economic and Community Development, Environmental Protection and Public Safety; (C) transportation facilities within the state, such as regionally significant airports and seaports, including those not under state control; and (D) the actions of neighboring states with regard to their transportation networks.

(c) In developing and revising the plan, the board may: (1) Conduct public hearings; (2) consult and cooperate with officials and representatives of the federal government, neighboring states,

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interstate commissions and authorities, local agencies and authorities, interested corporations and other organizations concerning problems affecting transportation in the state; (3) request and receive from any agency or other unit of the government of the state or of any political subdivision of the state, or from any public authority, such assistance and data as may be necessary to enable the board to carry out the board's responsibilities under this section; (4) to the extent the board may deem appropriate, make use of, and incorporate in the plan, any existing long-range transportation plan, survey or report developed by any public or private agency or person; and (5) employ consultants.

- (d) Copies of the plan, as revised, shall be kept on file as a public record in the office of the board.
- Sec. 5. (NEW) (a) Not later than one year following the effective date of this act, the board shall create a ten-year financial plan to identify the level of investment necessary to achieve the goals in the strategic plan that is created as provided in section 4 of this act. The board shall update the financial plan every two years and shall submit the plan and updated plans to the Governor and the General Assembly every two years in accordance with section 11-4a of the general statutes.
- (b) The financial plan shall: (1) Include financial analysis that considers the estimated costs of implementing such goals, including, but not limited to, (A) the effect of reallocating current resources; (B) an exploration of new funding sources; (C) the potential to increase current fees and charges; and (D) the feasibility of using the state's General Fund, (2) include recommendations of preferred funding mechanisms, (3) identify the amount of funds and projects to be undertaken pursuant to the Americans with Disabilities Act of 1990, and (4) relative to the Transportation Equity Act for the 21st Century, (A) identify the funds to be received annually in the following categories: Interstate construction, interstate maintenance, national highway system, bridge, surface transportation program, interstate

transfer, congestion mitigation and air quality, metropolitan planning, special projects and any other category designation under the act; (B) identify the projects to be funded annually through each funding category; (C) identify the projects to be funded annually through each category, as a result of the change in formulas and new flexibility allowed under the Transportation Equity Act for the 21st Century; (D) identify which projects will require the expenditure of state funds to leverage federal funds; (E) identify the amount and percentage of state funds that must be expended for each project in order to leverage federal funds; (F) identify the amount of federal funds that may be expended annually to repair local bridges identified as being in poor condition; (G) identify the economic impact of the federal funds allocated to the state in terms of job creation or retention; (H) identify the mass transit projects to be funded; (I) identify the manner in which the department intends to comply with the requirements of the federal Clean Air Act, as amended by P.L. 101-549, and how the department intends to expend any funds allocated to the department to achieve the goals of the act; and (J) identify with specificity the expenditures to be made from funds received in the congestion mitigation and air quality grant.

Sec. 6. (a) No later than one year following the effective date of this act, the board shall prepare written assessments of (1) the organization of the department to determine if the department is organized appropriately to carry out its mission and its responsibilities under the strategic plan, and to analyze the adequacy of the department's organization, workforce, structure, managerial style and competencies, and (2) the regional planning organizations and Metropolitan Planning Organization, aimed at (A) reducing the number of such organizations by changing planning boundaries to better reflect the needs and interdependencies of the planning regions after considering the predominant commuting patterns within regions and concentrations of economic activity, or (B) developing alternatives to compel existing regions to respond to the strategic objectives identified in the strategic

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plan within a larger geographical framework, and submit copies of such assessments to the Governor and the General Assembly. The board shall submit such written assessments to the Governor and to the General Assembly, in accordance with section 11-4a of the general

- 200 statutes.
- (b) If the board does not complete the strategic plan by one year following the effective date of this act, as provided in section 4 of this act, the board shall report its progress to the General Assembly on or before said date and shall identify in the report the reasons it has not completed the plan.
- (c) If the board does not complete the financial plan by one year following the effective date of this act, as provided in section 5 of this act, the board shall report its progress to the General Assembly on or before said date and shall identify in the report the reasons it has not completed the plan.
- Sec. 7. Section 13b-3 of the general statutes is repealed and the following is substituted in lieu thereof:
- There shall be a Department of Transportation which shall be responsible for all aspects of the planning, development, maintenance
- and improvement of transportation in the state. The department head
- shall be the Commissioner of Transportation, who shall be appointed
- by the Governor in accordance with the provisions of sections 4-5 to 4-
- 8, inclusive, with the powers and duties therein prescribed, and section
- 219 3 of this act. The commissioner shall serve at the pleasure of the
- 220 Governor.
- Sec. 8. Section 13b-4 of the general statutes is repealed and the
- 222 following is substituted in lieu thereof:
- [The] Subject to the direction of the Connecticut Transportation
- 224 Board, the commissioner shall have the following general powers,

- 225 duties and responsibilities:
- 226 (1) To coordinate and develop comprehensive, integrated
- transportation policy and planning to include a long-range master plan
- 228 of transportation for the state;
- 229 (2) To coordinate and assist in the development and operation of a
- 230 modern, safe, efficient and energy-conserving system of highway,
- 231 mass transit, marine and aviation facilities and services;
- 232 (3) To promote the coordinated and efficient use of all available and
- 233 future modes of transportation;
- 234 (4) To study commuter and urban travel and in cooperation with
- 235 federal, regional and local agencies and persons to formulate and
- 236 implement plans and programs to improve such travel;
- 237 (5) To study means of providing facilities for parking motor vehicles
- 238 so as to encourage travel by the combination of motor vehicle and
- 239 other modes of transportation and in cooperation with federal,
- 240 regional and local agencies and persons to formulate and implement
- 241 plans and programs for this purpose;
- 242 (6) To study means of improving transportation safety and to
- 243 formulate and implement plans and programs and promulgate
- 244 regulations for this purpose;
- 245 (7) To study the operations of existing airports, to determine the
- 246 need for changes in such airports and the need for future airports, and
- 247 to formulate and implement plans and programs to improve aviation
- 248 facilities and services;
- 249 (8) To cooperate with federal, state, interstate and local agencies,
- 250 organizations and persons performing activities relating to
- 251 transportation;

(9) To exercise and perform such other duties and responsibilities as may be conferred under this chapter and title 13a or as may otherwise be conferred by law;

- (10) To prepare a plan setting forth [his] the commissioner's recommendation for a restructured system of regional transit districts within the state. Said plan shall be based on: (A) Established patterns of commuter traffic within the state; (B) federal requirements for receiving aid under the Urban Mass Transportation Act of 1964, and (C) present planning regions. On or before February 1, 1978, the commissioner shall present such plan to the General Assembly;
- (11) To prepare pertinent reports, including, but not limited to, detailed reports of energy use analysis by mode of transportation; and
- (12) To provide for the planning and construction of any capital improvements and the remodeling, alteration, repair or enlargement of any real asset that may be required for the development and operation of a safe, efficient system of highway, mass transit, marine and aviation transportation, provided the acquisition, other than by condemnation, or the sale or lease, of any property that is used for such purposes shall be subject to the review and approval of the State Properties Review Board in accordance with the provisions of subsection (f) of section 4b-3.
- Sec. 9. Subsection (k) of section 13b-11a of the general statutes is repealed and the following is substituted in lieu thereof:
 - (k) The commission shall have access through the Department of Transportation to all records, reports, plans, schedules, operating rules and other documents prepared by rail and bus companies operating under contract with the state of Connecticut which pertain to the operations of such companies and to any documents that the commission may require from the department to carry out its responsibilities under this section and sections [13b-16,] 13b-17 and

282 16-343, provided this subsection shall not apply to any plans,

- 283 proposals, reports and other documents pertaining to current or
- 284 pending negotiations with employee bargaining units.
- Sec. 10. Section 13b-24 of the general statutes is repealed and the following is substituted in lieu thereof:
- [(a) The Subject to the direction of the Connecticut Transportation
- 288 Board, the commissioner shall have, with all the powers and duties
- 289 established under title 13a, this chapter, and as otherwise provided by
- 290 <u>law (1)</u> jurisdiction over the state highway system, [with all the powers
- 291 and duties prescribed in this chapter, in title 13a and as otherwise
- 292 provided by law and (2) general responsibility for the highways of the
- 293 <u>state</u>.
- [(b) The Commissioner of Transportation shall have general
- 295 responsibility for the highways of the state with all the powers and
- 296 duties established under title 13a, this chapter and as otherwise
- 297 provided by law.]
- Sec. 11. Subsection (a) of section 13b-34 of the general statutes is
- 299 repealed and the following is substituted in lieu thereof:
- 300 (a) [The] Subject to the direction of the Connecticut Transportation
- Board, the commissioner shall have power, in order to aid or promote
- 302 the operation, whether temporary or permanent, of any transportation
- 303 service operating to, from or in the state, to contract in the name of the
- 304 state with any person, including but not limited to any common
- 305 carrier, any transit district formed under chapter 103a or any special
- act, or any political subdivision or entity, or with the United States or
- 307 any other state, or any agency, instrumentality, subdivision,
- 308 department or officer thereof, for purposes of initiating, continuing,
- developing, providing or improving any such transportation service.
- 310 Such contracts may include provision for arbitration of disputed
- issues. The commissioner, in order to aid or promote the operation of

any transportation service operating outside the state, may contract in the name of the state with any person, including, but not limited to, any common carrier, or with the United States or any other state, or any agency, instrumentality, subdivision, department or officer thereof, for purposes of providing any transportation service in the event such assistance is required in the case of an emergency or a special event. The state, acting by and through the commissioner, may, by itself or in concert with others, provide all or a portion of any such service, share in the costs of or provide funds for such service, or furnish equipment or facilities for use in such service upon such terms and conditions as the commissioner may deem necessary or advisable, and any such contracts may include, without limitation thereto, arrangements under which the state shall so provide service, share costs, provide funds or furnish equipment or facilities. To these ends, the commissioner may in the name of the state acquire or obtain the use of facilities and equipment employed in providing any such service by gift, purchase, lease or other arrangements and may own and operate any such facilities and equipment and establish, charge and collect such fares and other charges or arrange for such collection for the use or services thereof as [he] the commissioner may deem necessary, convenient or desirable. The commissioner may also acquire title in fee simple to, or any lesser estate, interest or right in, any rightsof-way, properties or facilities, including properties used on or before October 1, 1969, for rail or other forms of transportation services. The commissioner may hold such properties for future use by the state and may enter into agreements for interim use of such properties for other purposes.

Sec. 12. (NEW) The Connecticut Transportation Board, established under section 2 of this act, is terminated, effective July 1, 2006, unless reestablished in accordance with the provisions of section 2c-10 of the general statutes.

Sec. 13. Subsection (a) of section 13b-44 of the general statutes is

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repealed and the following is substituted in lieu thereof:

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- (a) The state may establish, maintain and operate, and may expand, an airport at any location within the state in the following manner. The commissioner shall conduct and complete a study of the adequacy of existing airports [, which study may be based upon the study authorized under section 13b-16, and shall determine the necessity for the establishment of additional airports or the expansion of existing airports. The commissioner shall, within one year of the completion of such study, formulate and adopt a plan of development which shall incorporate the findings of such study, showing the necessity for such establishment or expansion, in a manner consistent with the comprehensive long-range master transportation plan. The plan of development shall specify the lands or interests therein the acquisition of which the commissioner deems necessary for such establishment or expansion and a copy of such plan of development shall be filed in the office of the town clerk of each municipality in which such establishment or expansion is proposed.
- Sec. 14. Subsection (a) of section 16a-27 of the general statutes is repealed and the following is substituted in lieu thereof:
 - (a) The secretary, after consultation with all appropriate state, regional and local agencies and other appropriate persons shall prior to March 1, 1997, complete a revision of the existing plan and enlarge it to include, but not be limited to, policies relating to transportation, energy and air. Any revision made after May 15, 1991, shall identify the major transportation proposals, including proposals for mass transit, contained in the [master transportation plan prepared pursuant to section 13b-15] strategic plan prepared pursuant to section 4 of this act. Any revision made after July 1, 1995, shall take into consideration the conservation and development of greenways that have been designated by municipalities and shall recommend that state agencies coordinate their efforts to support the development of a state-wide

375 greenways system. The Commissioner of Environmental Protection 376 shall identify state-owned land for inclusion in the plan as potential 377 components of a state greenways system.

- Sec. 15. Subdivision (10) of section 25-201 of the general statutes is repealed and the following is substituted in lieu thereof:
- 380 (10) "Major state plan" means the [master transportation plan 381 adopted pursuant to section 13b-15] strategic plan prepared pursuant 382 to section 4 of this act, the plan for development of outdoor recreation 383 adopted pursuant to section 22a-21, the solid waste management plan 384 adopted pursuant to section 22a-211, the state-wide plan for the 385 management of water resources adopted pursuant to section 22a-352, 386 the state-wide environmental plan adopted pursuant to section 22a-8, 387 the plan for the disposal of dredged material for Long Island Sound, 388 the historic preservation plan adopted under the National Historic 389 Preservation Act, as amended, the state-wide facility and capital plan 390 adopted pursuant to section 4b-23, the water quality management plan 391 adopted under the federal Clean Water Act, the marine resources 392 management plan, the Connecticut hazardous waste management plan 393 adopted pursuant to section 22a-134cc, the plan for managing forest 394 resources, the wildlife management plans and the salmon restoration 395 plan.
- Sec. 16. Subsection (e) of section 25-204 of the general statutes is repealed and the following is substituted in lieu thereof:
 - (e) After adoption pursuant to subsection (d) of this section of an inventory, statement of objectives and map, the river committee shall prepare a report on all federal, state and municipal laws, plans, programs and proposed activities which may affect the river corridor defined in such map. Such laws shall include regulations adopted pursuant to chapter 440 and zoning, subdivision and site plan regulations adopted pursuant to section 8-3. Such plans shall include plans of conservation and development adopted pursuant to section 8-

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23, the state plan for conservation and development, water utility supply plans adopted pursuant to section 25-32d, coordinated water system plans adopted pursuant to section 25-33h, the comprehensive energy plan adopted pursuant to section 16a-35m, municipal open space plans, the commissioner's fish and wildlife plans, [the master transportation plan adopted pursuant to section 13b-15] the strategic plan prepared pursuant to section 4 of this act, plans prepared by regional planning agencies pursuant to section 8-31a, and publiclyowned wastewater treatment facility plans. State and regional agencies shall, within available resources, assist the river committee in identifying such laws, plans, programs and proposed activities. The report to be prepared pursuant to this section shall identify any conflicts between such federal, state, regional and municipal laws, plans, programs and proposed activities and the river committee's objectives for river corridor protection and preservation as reflected in the statement of objectives. If conflicts are identified, the river committee shall notify the applicable state, regional or municipal agencies and such agencies shall, within available resources, attempt with the river commission to resolve such conflicts.

Sec. 17. Subdivision (4) of section 25-231 of the general statutes is repealed and the following is substituted in lieu thereof:

(4) "Major state plan" means any of the following: The [master transportation plan adopted pursuant to section 13b-15] strategic plan prepared pursuant to section 4 of this act, the plan for development of outdoor recreation adopted pursuant to section 22a-21, the solid waste management plan adopted pursuant to section 22a-211, the state-wide plan for the management of water resources adopted pursuant to section 22a-352, the state-wide environmental plan adopted pursuant to section 22a-8, the historic preservation plan adopted under the National Historic Preservation Act, 16 USC 470 et seq., the state-wide facility and capital plan adopted pursuant to section 4b-23, the long-range state housing plan adopted pursuant to section 8-37t, the

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comprehensive energy plan adopted pursuant to section 16a-35m, the water quality management plan adopted under the federal Clean Water Act, 33 USC 1251 et seq., the Connecticut hazardous waste management plan adopted pursuant to section 22a-134cc, any plans for managing forest resources adopted pursuant to section 23-20 and the Connecticut River Atlantic Salmon Compact adopted pursuant to section 26-302.

Sec. 18. Subsection (e) of section 25-234 of the general statutes is repealed and the following is substituted in lieu thereof:

(e) After adoption of an inventory, statement of objectives and map, pursuant to subsection (d) of this section, the river commission shall prepare a report on all federal, state, regional and municipal laws, plans, programs and proposed activities which may affect the river corridor defined in such map. Such federal, state, regional and municipal laws shall include regulations adopted pursuant to chapter 440, and zoning, subdivision and site plan regulations adopted pursuant to section 8-3. Such federal, state, regional and municipal plans shall include plans of development adopted pursuant to section 8-23, the state plan for conservation and development, water utility supply plans submitted pursuant to section 25-32d, coordinated water system plans submitted pursuant to section 25-33h, the comprehensive energy plan adopted pursuant to section 16a-35m, the [master transportation plan adopted pursuant to section 13b-15] strategic plan prepared pursuant to section 4 of this act, plans prepared by regional planning organizations pursuant to section 8-31a and plans of publicly-owned wastewater treatment facilities whose discharges may affect the subject river corridor. State and regional agencies shall, within available resources, assist the river commission in identifying such laws, plans, programs and proposed activities. The report to be prepared pursuant to this section shall identify any conflicts between such federal, state, regional and municipal laws, plans, programs and proposed activities and the river commission's objectives for river

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corridor management as reflected in the statement of objectives. If conflicts are identified, the river commission shall notify the applicable state, regional or municipal agencies and such agencies shall, within available resources and in consultation with the river commission, attempt to resolve such conflicts.

Sec. 19. Sections 13b-14, 13b-15 and 13b-16 of the general statutes are repealed.

PRI Joint Favorable Subst. C/R-LCO CE

CE Joint Favorable

The following fiscal impact statement and bill analysis are prepared for the benefit of members of the General Assembly, solely for the purpose of information, summarization, and explanation, and do not represent the intent of the General Assembly or either House thereof for any purpose:

OFA Fiscal Note

State Impact: Costs

Affected Agencies: Departments of Transportation, Economic and

Community Development, Public Safety, Environmental Protection, Office of Policy

and Management

Municipal Impact: None

Explanation

State Impact:

Passage of this bill could have the following fiscal impact:

	FY 02	FY 03	FY 04	FY 05
Board Compensation	112,500	112,500	112,500	112,500
Other Expenses	15,000	0	10,000	0
Consultant(s)	900,000	0	400,000	0
Total Estimated Costs	1,027,500	112,500,	522,500	112,500

The bill makes it permissive for the Connecticut Transportation Board to hire staff within available appropriations. If the board decides to hire staff, the costs of hiring staff could prevent other projects from being undertaken and/or could delay projects that are

currently being undertaken.

In accordance with the bill, the annual compensation for each member of the board cannot exceed \$12,500. The \$112,500 assumes the maximum annual compensation for the nine-voting members of the board.

The bill requires the study and publication of five (5) major plans: 1) a Strategic Plan, 2) a Financial Plan, 3) a DOT Assessment Plan, 4) a Transit District Restructure Plan, and 5) an Airport Adequacy Plan. It is assumed that consultants would be hired to conduct the first three (3) plans listed above. The cost to hire consultants for each of the three (3) plans is estimated to be \$300,000. Moreover, the Strategic and Financial Plans must be reviewed and revised every two years. The cost of the revision could be \$200,000 each (shown in FY 04). The department can conduct the Transit District Restructure Plan, and the Airport Adequacy Plan within available resources.

Included in Other Expenses are: mailings, publishing public notices, supplies and printing of reports.

It is anticipated that participation or assistance, to the board, by the Departments of Transportation, Economic and Community Development, Public Safety, Environmental Protection and the Office of Policy and Management can be provided within available resources.

Although the board will be terminated effective July 1, 2006, costs for FY 06 could total \$522,500 (same costs as in FY 04), if the board is reestablished under the provisions of Section 2c-10 of the general statutes.

The repeal of Sections 13b-14, 13b-15 and 13b-16 will result in the reallocation of resources to the demands placed by the board such as the plans mentioned above which will be conducted within available resources.

OLR Bill Analysis

sSB 1275

AN ACT IMPLEMENTING THE RECOMMENDATIONS OF THE LEGISLATIVE PROGRAM REVIEW AND INVESTIGATIONS COMMITTEE CONCERNING TRANSPORTATION PLANNING.

SUMMARY:

This bill creates the Connecticut Transportation Board and gives it broad powers over the Department of Transportation (DOT), the DOT commissioner, and state transportation planning. It gives the board the power to:

- 1. create a transportation vision statement and a mission statement for DOT,
- 2. develop and periodically revise a 10-year strategic state transportation plan and a 10-year financial plan,
- 3. determine the priority of "major" DOT projects,
- 4. assess and make organizational changes to DOT,
- 5. assess the state's 15 regional planning organizations (RPOs) and recommend reducing the number of such organizations or ways to require them to address transportation issues from a larger geographical framework,
- 6. review and approve DOT's operating and capital budget before submission to the governor,
- 7. recommend a list of candidates from which the governor must select a new commissioner in the event of a vacancy, and
- 8. recommend that the governor remove the commissioner if the board finds that he failed to carry out the board's policies.

The bill makes numerous current powers of the commissioner subject to the board's direction.

DOT must serve as staff to the board, but the board may also hire staff it deems necessary, within available DOT appropriations. The bill authorizes the board to hire consultants to develop and revise the strategic plan.

The bill requires the board to report annually to the governor and the Transportation and Commerce committees on progress made in implementing the strategic plan. The board terminates on July 1, 2006 unless the General Assembly authorizes it to continue.

Under the bill, board members, other than the state commissioners, must receive compensation of \$250 a day for attending any public hearing, meeting, executive session or other board business, and up to \$12,500 annually.

EFFECTIVE DATE: October 1, 2001

CONNECTICUT TRANSPORTATION BOARD

Members and Structure

The board consists of nine voting members, serving four-year terms, and five nonvoting state agency heads. The governor must appoint five members, at least one of whom must be a member of the board of directors or any board or commission that oversees Bradley International Airport. He also selects the chairman. The House speaker, the Senate president pro tempore, and the House and Senate minority leaders each appoint one of the other four members. No appointed member can be a DOT employee. Appointed members must be knowledgeable about transportation and economic issues, and the appointing authorities must consider the board's "geographical balance" in making appointments.

The agency heads that must serve on the board are the commissioners of transportation, economic and community development, public safety, and environmental protection, and the secretary of policy and

management. Five board members constitute a quorum.

BOARD POWERS AND DUTIES

Strategic Plan

The bill requires the board to create, in consultation with DOT, a 10-year strategic plan for operating, maintaining, and improving the state's transportation system. (The bill is inconsistent about the deadline for this plan. One provision indicates the board has one year after its formation; another requires that if the plan is not finished by October 1, 2002, the board must report to the General Assembly explaining why it is not finished and what progress has been made.) The plan must:

- address the role of transportation in economic development including promoting mobility and productivity, improving the links between different transportation modes, enhancing the state's connection to national and global transportation networks, and supporting industry clusters and regional economic needs;
- 2. address other societal goals such as ensuring the safety and maintenance of the transportation system, balancing transportation and economic needs with environmental concerns consistent with the state Plan of Conservation and Development, and providing mobility to underserved populations;
- 3. identify objective criteria and procedures for prioritizing state transportation needs and expenditures as they relate to the strategic plan; and
- 4. address issues affecting transportation that are outside DOT's control such as coordinating land-use issues with transportation projects, and coordinating efforts with other state agencies, public and private transportation facilities, and neighboring states.

The board may conduct public hearings to develop, and later revise,

the plan and may consult with any necessary federal, state, or local officials or private individuals or entities. It also may incorporate any existing long-range transportation plan developed by any public or private agency or person. The board must update the plan every two years and submit it and each update to the governor and the General Assembly. The board may employ consultants to help develop and revise the plan.

If the board has not completed the strategic plan by October 1, 2002, it must report to the General Assembly, by that same date, on its progress and explain why it has not done so.

Financial Plan

By October 1, 2002, the bill requires the board to create a 10-year financial plan to identify the funding levels necessary to reach the strategic plan goals. The plan must include:

- 1. an analysis of the costs of implementing strategic plan goals, including the effect of reallocating current resources, exploring new funding sources, increasing current fees and charges, and the feasibility of using the General Fund;
- 2. recommendations of preferred funding mechanisms;
- 3. the amount of funds and projects to be undertaken to comply with the 1990 Americans with Disabilities Act; and
- 4. a detailed assessment of federal funding under the Transportation Equity Act for the 21st Century, including a breakdown of projects by funding category, to what degree they require matching state funds, and the amount of federal funds for repairing bridges in poor condition, for mass transit, for congestion mitigation and air quality, and an estimate of the impact of the federal funds in terms of jobs created and retained.

The board must update the plan every two years and submit it with updates to the governor and the General Assembly. If the board has not completed the financial plan by October 1, 2002, it must report its

progress to the General Assembly by the same date, and explain why it has not done so.

Priority Projects

The bill allows the board to determine the priority of major DOT projects, but it does not specify what would constitute a major project.

Assessments of DOT and RPOs

The board must submit the following assessments to the governor and the General Assembly by October 1, 2002.

DOT Assessment and Reorganization. The bill requires the board to prepare a detailed assessment of DOT's organization that includes (1) a determination of whether DOT is organized properly to carry out its duties under the strategic plan and (2) an analysis of the department's workforce, structure, managerial style, and competencies. Under the bill, the board is also authorized to make any organizational changes based on the assessments and to oversee any such changes that take place.

RPOs Assessment and Recommendations. The board must prepare an assessment of the state's 15 RPOs and recommend (1) reducing their number by changing their boundaries to better reflect transportation patterns and economic activity or (2) ways to force them to address transportation issues from a larger geographical framework.

DOT's Budget

Under the bill, the board must review and approve DOT's proposed operating and capital budget before DOT submits them to the governor.

DOT Commissioner

The bill authorizes the board to make findings of inadequate performance by the commissioner (specifically failure to carry out the board's policies, incapacity, neglect of duty or unlawful conduct) and, if it chooses, submit them to the governor with a recommendation for

the commissioner's removal. The bill also makes the commissioner's powers under current law subject to the board's direction.

DOT Commissioner Vacancy

If the commissioner's position becomes vacant, the board must submit a list of at least three qualified candidates to the governor, who must choose a new commissioner from that list.

ELIMINATION OF EXISTING TRANSPORTATION PLANS

The bill eliminates current requirements directing the commissioner to develop (1) a long-range transportation plan, (2) a master transportation plan, and (3) an existing transportation facilities study. It replaces them with the strategic plan.

BACKGROUND

Related Bill

An Act Implementing the Recommendations of the Transportation Strategy Board (sHB 6985) creates a Transportation Strategy Board to develop a state transportation strategy for approval by the General Assembly. The Transportation Committee reported this bill out favorably on April 2.

COMMITTEE ACTION

Program Review and Investigations Committee

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Joint Favorable Change of Reference
Yea 11 Nay 0
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Commerce Committee

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Joint Favorable Report
Yea 24 Nay 0
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